

IDS Form PTO/SB/48, Substitute for form 1449A/PTO

MAR 02 2006

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet

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of

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Complete if Known

Application Number	10/789,953
Filing Date	February 26, 2004
First Named Inventor	NARASIMHAN, Mukundan
Art Unit	2826
Examiner Name	MANDALA, Victor A.
Attorney Docket Number	9140.0030-00

U.S. PATENTS AND PUBLISHED U.S. PATENT APPLICATIONS

Examiner Initials ¹	Cite No. ¹	Document Number Number-Kind Code ² (if known)	Issue or Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
VM		US-3,309,302	03-14-1967	Heil	
		US-5,338,625	08-16-1994	Bates et al.	
		US-5,561,004	10-01-1996	Bates et al.	
		US 5,909,346	06-01-1999	Malhotra et al.	
		US-5,930,046	07-27-1999	Solberg et al.	
		US-6,000,603	12-14-1999	Koskenmaki et al.	
		US-6,133,670	10-17-2000	Rodgers et al.	
		US-6,242,129 B1	06-05-2001	Johnson	
		US-6,280,875 B1	08-28-2001	Kwak et al.	
		US-6,356,694 B1	03-12-2002	Weber	
		US-6,376,027 B1	04-23-2002	Lee et al.	
		US-6,632,563 B1	10-14-2003	Krasnov et al.	
		US-6,683,244 B2	01-27-2004	Fujimori et al.	
		US-6,683,749 B2	01-27-2004	Daby et al.	
		US-6,768,855 B1	07-27-2004	Bakke et al.	
		US 2002/0001746 A1	01-03-2002	Jenson	
		US 2002/0076133 A1	06-20-2002	Li et al.	
V		US 2002/0140103 A1	10-03-2002	Kloster et al.	
VM		US 2003/0178637 A1	09-25-2003	Chen et al.	

Note: Submission of copies of U.S. Patents and published U.S. Patent Applications is not required.

FOREIGN PATENT DOCUMENTS

Examiner Initials ¹	Cite No. ¹	Foreign Patent Document Country Code ³ Number ⁴ Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Translation ⁶
VM		WO 2004/106581 A2	12-09-2004	Symmorphix, Inc.		
VM		WO 2004/106582 A2	12-09-2004	Symmorphix, Inc.		

Examiner Signature	/Victor Mandala/	Date Considered	05/03/2006
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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EV 746094860 US

IDS Form PTO/SB/08: Substitute for form 1449A/PTO				<i>Complete if Known</i>	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				<i>Application Number</i>	10/789,953
<i>(Use as many sheets as necessary)</i>				<i>Filing Date</i>	February 26, 2004
				<i>First Named Inventor</i>	NARASIMHAN, Mukundan
				<i>Art Unit</i>	2826
				<i>Examiner Name</i>	MANDALA, Victor A.
Sheet	2	of	3	<i>Attorney Docket Number</i>	9140.0030-00

NON PATENT LITERATURE DOCUMENTS					
Examiner Initials ⁵	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.			Translation ⁶
VM		KIM, H-K. and YOON, Y., "Characteristics of rapid-thermal-annealed LiCoO ₂ cathode film for an all-solid-state thin film microbattery," <i>J. Vac. Sci. Technol. A</i> 22(4):1182-1187 (2004).			
		Response to Office Action filed on October 17, 2005 in U.S. Application No. 10/291,179 (Attorney Docket No. 09140.0001-00).			
		Final Office Action issued on December 14, 2005 in U.S. Application No. 10/291,179 (Attorney Docket No. 09140.0001-00).			
		PCT Invitation to Pay Additional Fees for PCT/US01/22750, dated March 13, 2002 (Attorney Docket No. 09140.0002-00304).			
		PCT International Search Report for PCT/US01/22750, dated July 19, 2002 (Attorney Docket No. 09140.0002-00304).			
		PCT Written Opinion for PCT/US01/22750, dated July 23, 2002 (Attorney Docket No. 09140.0002-00304).			
		PCT International Preliminary Examination Report for PCT/US01/22750, dated October 8, 2002 (Attorney Docket No. 09140.0002-00304).			
		Office Action issued on November 28, 2005 in U.S. Application No. 09/903,081 (Attorney Docket No. 09140.0014-00).			
		Response to Office Action filed February 28, 2006 in U.S. Application No. 09/903,081 (Attorney Docket No. 09140.0014-00).			
		Office Action issued September 21, 2005 in U.S. Application No. 11/100,856 (Attorney Docket No. 09140.0015-01).			
		Response to Office Action filed February 17, 2006 in U.S. Application No. 11/100,856 (Attorney Docket No. 09140.0015-01).			
		Response to Office Action filed December 5, 2005, in U.S. Application No. 10/101,863 (Attorney Docket No. 09140.0016-00).			
		Final Office Action issued on February 14, 2006, in U.S. Application No. 10/101,863 (Attorney Docket No. 09140.0016-00).			
		Response to Office Action filed February 24, 2006, in U.S. Application No. 10/101,863 (Attorney Docket No. 09140.0016-00).			
		Response to Office Action filed on July 25, 2005 in Application No. 10/954,182 (Attorney Docket No. 09140.0016-01).			
VM		Office Action issued on October 25, 2005, in U.S. Appl. No. 10/954,182 (Attorney Docket No. 09140.0016-01000).			
VM		Response to Office Action filed on December 21, 2005 in Application No. 10/954,182 (Attorney Docket No. 09140.0016-01).			

Examiner Signature	/Victor Mandala/	Date Considered	05/03/2006
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				<i>Art Unit</i>	2826
				<i>Examiner Name</i>	MANDALA, Victor A.
Sheet	3	of	3	<i>Attorney Docket Number</i>	9140.0030-00

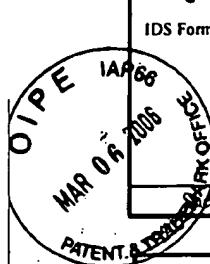
NON PATENT LITERATURE DOCUMENTS

VM		Response to Office Action filed on November 8, 2005, in U.S. Application No. 10/101,341 (Attorney Docket No. 09140-0017-00).	
		Office Action issued on February 13, 2006, in U.S. Application No. 10/101,341 (Attorney Docket No. 09140-0017-00).	
		Office Action issued on October 3, 2005 in U.S. Application No. 10/650,461 (Attorney Docket No. 09140.0025-00).	
		Response to Office Action filed on January 3, 2006 in U.S. Application No. 10/650,461 (Attorney Docket No. 09140.0025-00).	
		International Preliminary Examination Report mailed April 15, 2004 in PCT/US03/24809 (Attorney Docket No. 09140.0025-00304).	
		Specification and Preliminary Amendment as filed for U.S. Appl. No. 11/297,057 (Attorney Docket No. 09140.0030-01).	
		Office Action issued on March 24, 2005 in U.S. Application No. 10/851,542 (Attorney Docket No. 09140.0033-00).	
		Response to Office Action dated July 25, 2005 in U.S. Application No. 10/851,542 (Attorney Docket No. 09140-0033-00).	
		Office Action issued on October 19, 2005 in U.S. Application No. 10/851,542 (Attorney Docket No. 09140.0033-00).	
		Response to Office Action filed January 19, 2006 in U.S. Application No. 10/851,542 (Attorney Docket No. 09140.0033-00).	
		PCT International Search Report and Written Opinion for Application No. PCT/US2004/014524 dated March 2, 2005 (Attorney Docket No. 09140.0033-00304).	
		PCT International Preliminary Report on Patentability for Application No. PCT/US2004/014524, dated December 8, 2005 (Attorney Docket No. 09140.0033-00304).	
		PCT International Search Report for Application No. PCT/US2004/014523 dated January 17, 2005 (Attorney Docket No. 09140.0034-00304).	
		PCT Written Opinion for Application No. PCT/US2004/014523 dated January 17, 2005 (Attorney Docket No. 09140.0034-00304).	
V		PCT International Preliminary Report on Patentability for Application No. PCT/US2004/014523, dated December 8, 2005 (Attorney Docket No. 09140.0034-00304).	
VM		Specification as filed for U.S. Appl. No. 11/297,057 (Attorney Docket No. 09140.0042-00).	

Examiner Signature	/Victor Mandala/	Date Considered	05/03/2006
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VM		US 5,309,302	05-03-1994	Vollmann	
		US 5,538,796	07-23-1996	Schaffer et al.	
		US 6,281,142 B1	08-28-2001	Basceri et al.	
		US 6,290,821 B1	09-18-2001	McLeod	
		US 6,433,380 B2	08-13-2002	Shin	
V		US 2003/0173208 A1	09-18-2003	Pan et al.	
VM		US 2005/0006768 A1	01-13-2005	Narasimhan et al.	

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